

S/N 09/437,567

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SEARE ET AL.	Examiner:	UNKNOWN
Serial No.:	09/437,567	Group Art Unit:	2755
Filed:	NOVEMBER 10, 1999	Docket No.:	12344.2USC1
Title:	METHOD AND SYSTEM FOR GENERATING STATISTICALLY-BASED MEDICAL PROVIDER UTILIZATION PROFILES		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 7, 2000.

By:
Name:

Susan Creek
Susan Creek

COMMUNICATION REGARDING NOTICE TO FILE
MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants undersigned attorney received a Notice to File Missing Parts of Application mailed December 13, 1999. Applicants insist that this Notice to File Missing Parts of Application was sent in error. Applicants note that they did indeed file a signed Declaration (22 pages) with the above-identified patent application.

Applicants are enclosing herewith a copy of the Declaration and the PTO-1533 (Part 2). However, Applicants are not paying the Missing Parts surcharge of \$130.00.

Should any Patent Office representative have any questions, they are invited to contact Applicants' representative at the below listed number.

Respectfully submitted,

Merchant & Gould P.C.
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402
612/332-5300

Alan G. Gorman
Alan G. Gorman
Reg. No. 38,472
AGG:PSTslc

Dated: 1/7/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTION

Applicant: SEARE ET AL.
 Examiner: UNKNOWN
 Serial No.: 09/437,567
 Group Art Unit: 2755
 Filed: NOVEMBER 10, 1999
 Docket: 12344.2USC1
 Due Date: FEBRUARY 13, 2000
 Title: METHOD AND SYSTEM FOR GENERATING STATISTICALLY-BASED MEDICAL PROVIDER UTILIZATION PROFILES

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on January 7, 2000.

By: *[Signature]*
 Susan Creel

BOX MISSING PARTS
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Declaration
- ☒ PTO Form-1533 (Part 2)
- ☒ Other: Communication Regarding Notice to File Missing Parts of Application
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 3100 Norwest Center, Minneapolis, MN 55402
 (612) 332-5300

By: *[Signature]*
 Name: Alan G. Gorman
 Reg. No.: 38,472
 AGG:PSTslc

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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07/25/99 11/17/99 SEARS 12344, 20901

ATTENTION ALAN G GORMAN
MERCHANT & GOULD PC
3100 NORWEST CENTER
90 SOUTH SEVENTH STREET
MINNEAPOLIS MN 55402

0242/1213

NOT ASSIGNED

DATE MAILED: 2755

12/13/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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